



Dan A. Gwadosky
Secretary of State

Rebecca M. Wyke
Chief Deputy Secretary of State

Julie L. Flynn
Deputy Secretary of State

Timothy R. Poulin
Director of Corporations and UCC

**Maine Department of the Secretary of State
Bureau of Corporations, Elections and Commissions
Division of Corporations and Uniform Commercial Code**

Memorandum

TO: All Customers of the UCC Filing Section
FROM: Timothy Poulin, Director of Corporations and UCC
DATE: December 11, 2001
SUBJECT: New Filing Requirements Effective January 1, 2002

On July 1, 2001, changes to Revised Article 9 of the Uniform Commercial Code became effective. As filers, you need to be aware of an additional change that will impact you.

Effective January 1, 2002, this office will no longer accept the National Transition Form. The purpose of this form was to make the transition from the old 8½" x 5½" forms to the new national forms for filings made prior to July 1, 2001. The new national forms have the following differences from the transition:

- Elimination of the signature spaces;
- Organizational debtor information is no longer optional;
- Additional data elements are provided for Alternate designations for debtor/secured party, Non-UCC filing liens, Agricultural liens, Manufactured home liens, Public Finance transaction liens, and debtor designations of Trust, Trustee, and Decedent's Estate.

This office will accept **only** the National UCC forms for all filings. These forms are available on our website at www.state.me.us/sos/cec/corp/ucc.htm in an Adobe PDF "fillable" format. You can simply complete the form online, print and mail it together with the proper filing fee.

Any Transition Form that has been returned for correction must be received in proper filing order by the close of business on December 31, 2001. If the form is not received by that date, the filing must be submitted on the National Form or will be refused for filing.

If you have any questions regarding this issue, please feel welcome to contact Claudia Veilleux, Supervisor of the UCC Filing Section of my office or me at 207-624-7736.